Res'd Pettero





Robert Greene Sterne Jorge A. Goldstein David K.S. Cornwell Robert W. Esmond Tracy-Gene G. Durkin Michele A. Cimbala Robert E. Sokohl Michael O. Lee Steven R. Ludwig John M. Covert Robert C. Millonia Donald J. Featherstone Timothy J. Shea, Jr Michael V. Messinger Judith U. Kim

Patrick E. Garrett Jeffrey T. Helvey Heidi L. Kraus Eldora L. Ellison Thomas C. Fiala Donald R. Banowit Peter A. Jackman Jeffrey S. Weaver Brian J. Del Buono Vincent L. Capuano Virgil Lee Beaston Theodore A. Wood Elizabeth J. Haanes Joseph S. Ostroff Frank R. Cottingham Rae Lynn P. Guest Daniel A. Klein Jason D. Eisenberg

Michael D. Specht Tracy L. Muller Jon E. Wright LuAnne M. DeSantis Ann E. Summerfield Helene C. Carlson Cynthia M. Bouchez Timothy A. Dovle Gaby L. Longsworth Lori A. Gordon Laura A. Vogel Bryan S. Wade Bashir M.S. Ali Shannon A. Carroll Matthew E. Kelley Michelle K. Holoubek Marsha A. Roses

W. Blake Coblentz James J. Pohi* John T. Haran* Mark W. Rygiel

Registered Patent Agents • Karen R. Markowicz Matthew J. Dowd Katrina Yujian Pel Quach Robert A. Schwartzman Teresa A. Colella Victoria S. Rutherford Simon J. Elliott Julie A. Heider Mita Mukherjee Scott M. Woodhouse Christopher J. Walsh

Liliana Di Nola-Baron Peter A. Socarras leffrey Mills Danielle L. Letting Lori Brandes

Of Counsel Edward J. Kessler Kenneth C. Bass III Marvin C. Guthrie

*Admitted only in Maryland *Admitted only in Virginia •Practice Limited to Federal Agencies

March 7, 2006

WRITER'S DIRECT NUMBER: (202) 772-8627 INTERNET ADDRESS: KQUACH@SKGF.COM

Art Unit 1645

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

> Re: U.S. Utility Patent Application

Application No. 10/525,726

(U.S. Nat'l Phase of PCT/EP03/09437; I.A. Filing Date: August 26, 2003)

Diagnostic and Therapeutic Use of FOAP-13 Polynucleotides and Polypeptides for Neurodegenerative Diseases

VON DER KAMMER et al.

Inventors: Our Ref: 2335.0060001/SRL/KPQ

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Request to Correct 35 U.S.C. § 371 Date;
- 2. Marked-up copy of Acceptance of Application Under 35 U.S.C. § 371 and 37 CFR §1.495 indicating corrections in red;
- 3. Request for Corrected Official Filing Receipt;
- 4. Marked-up copy of Filing Receipt indicating corrections in red;
- 5. File Copy of Filing Receipt date-stamped February 28, 2005; and
- 6. File Copy of Filing Receipt date-stamped October 24, 2005.

Commissioner for Patents March 7, 2006 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Katrina Y. Pei Quach
Agent for Applicants
Registration No. 51,063

KPQ/mhd Enclosures

503006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

VON DER KAMMER et al.

Application No.: 10/525,726 (U.S. National Phase of PCT/EP03/09437)

International Filing Date: August 26, 2003

For: Diagnostic and Therapeutic Use of FOAP-13 Polynucleotides and Polypeptides for Neurodegenerative

Diseases

Confirmation No.: 3700

Art Unit: 1645

Examiner: To be assigned

Atty. Docket: 2335.0060001/SRL/KPQ

Mail Stop: PCT

Request to Correct 35 U.S.C. § 371 Date

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Further to Applicant's telephone conference of March 1, 2006 with Ms. Charitta A. Burt of the PCT Operations - National Stage Division of the United States Patent and Trademark Office (USPTO), Applicants hereby submit a request for a correction of the 35 U.S.C. § 371 date and for a corrected Notice of Acceptance Under 35 U.S.C. § 371 and 37 CFR § 1.495.

Applicants have noticed that the USPTO has entered an incorrect 35 U.S.C. § 371 date in its records. Specifically, the USPTO has entered November 25, 2005. However, the correct 35 U.S.C. § 371 date is October 24, 2005. The USPTO has also entered the incorrect date of November 25, 2005 as the date the Oath or Declaration and the Biochemical Sequence Listing was filed. The correct date for the filing of the Declaration is October 24, 2005. The Biochemical Sequence Listing was originally filed on February 28, 2005 and a second copy of that same Sequence Listing was submitted on October 24, 2005 at Ms. Burt's request. Please see the enclosed copy of the return postcards, date-stamped by the USPTO as having received, on February 28, 2005 and October 24, 2005, the documents listed thereon.

In support of the above request, a photocopy of the instant Notice of Acceptance is enclosed with the corrections noted in red. It is requested that a corrected Notice of Acceptance be issued, and sent to the undersigned at the earliest possible time.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Katrina Y. Pei Quach Agent for Applicants

Registration No. 51,063

Date: March 7, 2006

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

502985_1



United States Patent and Trademark Office

United States DEPARTMENT OF COMMI United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov UNITED STATES DEPARTMENT OF COMMERCE

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.		
10/525,726	Heinz Von Der Kammer	2335.0060001/SRL/KPQ		
	[INTERNATIONAL APPLICATION NO.		
		PCT/EP03/09437		
26111		I.A. FILING DATE	PRIORITY DATE	
STERNE, KESSLER, GOLDSTEIN & F	OXPLIC	08/26/2003	08/28/2002	
1100 NEW YORK AVENUE, N.W. WASHINGTON, DC 20005	FEB 1 0 2006 Sterne, K.:	CONFIRMA 371 ACCEPTANCE L	ATION NO. 3700 ETTER	
Date Mailed: 02/07/2006	SAL HOA H	13		
NOTICE OF ACCEPTANCE OF	E ADDITION LINDED 25	HIS C 274 AND 2	7 CED 4 405	

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

11/25/2005- 10/24/2005 DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS

·11/25/2005 DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 02/28/2005
- Copy of the International Search Report filed on 02/28/2005
- Copy of IPE Report filed on 02/28/2005
- Preliminary Amendments filed on 02/28/2005
- Information Disclosure Statements filed on 09/30/2005
- Biochemical Sequence Diskette filed on 02/28/2005
- Oath or Declaration filed on 14/25/2005 しんれいことが
- Biochemical Sequence Listing filed on 11/25/2005 ເປັນໃນທີ່ວິ
- Reguest for Immediate Examination filed on 02/28/2005
- U.S. Basic National Fees filed on 02/28/2005
- Priority Documents filed on 02/28/2005

• Power of Attorney filed on 11/25/2005

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

CHARITTA A BURT

Telephone: (703) 308-9140 EXT 207

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

VON DER KAMMER et al.

Appl. No.: 10/525,726 (U.S. National

Phase of PCT/EP03/09437)

International Filing Date: August 26, 2003

For: Diagnostic and Therapeutic Use of FOAP-13 Polynucleotides and

Polypeptides for Neurodegenerative

Diseases

Confirmation No.: 3700

Art Unit: 1645

Examiner: To be assigned

Atty. Docket: 2335.0060001/SRL/KPQ

Request for Corrected Official Filing Receipt

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Mail Stop PCT

Sir:

Applicants hereby request that a corrected Official Filing Receipt be issued and sent to the undersigned representative. Specifically, the following corrections to the Official Filing Receipt are requested:

In Domestic Priority data claimed by applicant, please insert: --60/406,303, filed August 28, 2002;-- and

In Filing or 371(c) Date, please delete "11/25/2005" and insert therefor --10/24/2005--

In support of the above request, a marked-up copy of the Official Filing Receipt is enclosed to show the corrections. It is requested that a corrected Official Filing Receipt be issued, and sent to the undersigned at the earliest possible time.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

The Pin Overly

Katrina Y. Pei Quach Agent for Applicants

Registration No. 51,063

Date: March 7, 2006

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Dox 1450 Alexandria, Virginia 22313-1450

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/525,726	10/24/20		2230	2335.0060001/SRL/KPQ	11	19	9

26111 STERNE, KESSLER, GOLDSTEIN & FOX PLLC 1100 NEW YORK AVENUE, N.W. WASHINGTON, DC 20005

CONFIRMATION NO. 3700 FILING RECEIPT *OC000000017991789*

Date Mailed: 02/07/2006

್ಷ ಸಂಚಿಣ & Fox

KPQ 2/13

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Heinz Von Der Kammer, Hamburg, GERMANY; Johannes Pohlner, Hamburg, GERMANY:

Power of Attorney: The patent practitioners associated with Customer Number 26111.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP03/09437 08/26/2003 60/406,303 08/28/2002

Foreign Applications

EUROPEAN PATENT OFFICE (EPO) 02019281.1 08/28/2002

Projected Publication Date: 05/18/2006

Non-Publication Request: No

Early Publication Request: No

DOCKETED

Title

Diagnostic and therapeutic use of foap-13 polynucleotides and polypeptides for

Petition to Expedite Foreign Filing License lul April 25, 2006
STAT BAR due May 25, 2006

Preliminary Class

435

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject

matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Due Date: February 28, 2005

Art Unit: To be assigned

Confirmation No.:

To be assigned

Application No.:

Applicants:

To be assigned (U.S. Nat'l Phase of

VON DER KAMMER et al.

PCT/EP03/09437)

Examiner:

To be assigned

Filed: Herewith (I.A. Filing Date: August 26, 2003)

Docket:

2335.0060001

Diagnostic and Therapeutic Use of FOAP-13

SRL/KPO

Polynucleotides and Polypeptides for

Atty:

Neurodegenerative Diseases

When receipt stamp is placed hereon, the USPTO acknowledges receipt of the following documents:

- Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Submission Under 35 U.S.C. § 371; 1. 2.
- Authorization to Treat a Reply as Incorporating an Extension of Time Under 37 C.F.R. § 1.136(a)(3);

3. · Application Data Sheet;

- Copy of published International Appl. No. PCT/EP03/09437, including 29 pages of description prior to the claims; 5 pages of 4. claims (13 claims); 11 pages of drawings (Figures 1-11); and 7 pages of a paper copy of a sequence listing;
- 5. Computer readable copy of sequence listing;
- Preliminary Amendment:
- 7. International Search Report:

Copy of first Written Opinion of the International Preliminary Examination Authority dated August 25, 2004; 8. 9.

- Copy of Reply to first Written Opinion of the International Preliminary Examination Authority dated October 14, 2004, with amended claims:
- 10. Copy of International Preliminary Examination Report dated December 20, 2004;

Two (2) return postcards; and 11.

Credit Card Payment Form PTO-2038 in the amount of \$1,000.00 to cover: 12.

\$300.00 Basic national fee:

\$200.00 Examination fee; and

\$500.00 Search fee.

Mail Stop: PCT

Please Date Stamp and Return to Our Courier

366149-1

FILE GOPY